

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

RULE 53(f) NO DECLARATION

PATENT
APPLICATIONHon. Commissioner of Patents
Washington, DC 20231

Atty. Dkt.

PM 275264

HD-943

M#

Client Ref

Date:

January 2, 2001

January 1, 2001 = Holiday

December 31, 2000 = Sunday

December 30, 2000 = Saturday

Sir:

1. This is a Request for filing a new Patent Application ☐ Design ☒ Utility) entitled:

2. (Complete) Title: METHOD OF FABRICATING CAPACITORS FOR SEMICONDUCTOR DEVICES

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).4. 24 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 20 Numbered claim(s); and

7. ☒ Drawings: 3 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"

9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Korea

Application No.	Filing Date	Application No.	Filing Date
(1) 99-68094	December 31, 1999	(2)	
(3)		(4)	
(5)			

☐ See 3rd page for additional priorities11. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) filed on12. ☐ This is a reissue of Patent No.13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)14. ☒ Amend the specification by inserting before the first line -- This is a ☐ Continuation-in-Part ☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:14(a) ☐ National Appl. No. / filed .- - (M#)14(b) ☐ International Appl. No. PCT/ filed which designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--15. ☐ Amend the specification by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. 60/ , filed .- -16. Extension to date: ☐ concurrently filed ☒ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (pre-filing confirmation required)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)17(b) ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (PAT-258)

- 18.
- ☐
- Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

- 19.
- ☐
- Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
-
- (Listing of inventor(s)
- not
- a requirement, but list if known)

(1) Inventor	Kee Jeung	LEE	
	First	Middle Initial	Family Name
Residence	Seoul	Korea	Korea
	City	State/Foreign Country	Country of Citizenship
Mailing Address	270-2, Sukchon-dong, Songpa-gu, Seoul, Korea		
(include Zip Code)			

(2) Inventor	Dong Jun	KIM	
	First	Middle Initial	Family Name
Residence	Ich'on	Korea	Korea
	City	State/Foreign Country	Country of Citizenship
Mailing Address	104-402, Shinhan Apt., 94-3, Jeungpo-dong, Ich'on, Kyoungki-do, Korea		
(include Zip Code)			

(3) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, check box
- ☐
-
- and attach sheet with same information regarding additional inventors.

Pillsbury Winthrop LLP
Intellectual Property Group1100 New York Avenue, NW.
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
Atty/Sec: GPB/slb

By: Atty: Gregory P. Brummett

Reg. No. 41646

Sig:

Fax: (202) 822-0944
Tel: (202) 861-3683

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments